





DOE Office of General Counsel Leads Enforcement with BTP Support	Efficiency & able Energy
 Certifications to Date Close to 2 million products have been certified to DOE since January 1, 2010 100-percent of certifications are reviewed by DOE 	
 Enforcement Activity Approximately 250 open enforcement actions Emphasis on testing and standards violations Expanding to commercial equipment Collected over \$5,400,000 in civil penalties for violations Enforcement news: http://energy.gov/gc/enforcement-news 	
 Online, Public, Searchable Certification Database Launched at end of 2011 Version 2.0 release upcoming 	
 Guidance Database DOE released a guidance database in September 2011, which houses responses to questions DOE has received regarding scope of coverage, definitions, test procedures standards applicability, certification, and enforcement. 	5,
4 DOE Appliance Standards	eere.energy.gov

Vhat Does the Program Do?	U.S. DEPARTMENT OF Energy Efficiency Control Renewable Energy
 Enforces the standards. DOE can order manufacturers to take corrective action if their products do not meet the standard levels. This can include ordering them not to sell the products in the United States and the imposition of civil penalties. 	BEFORE THE U.S. DEPARTMENT OF ENERGY Washington, D.C. 2085 Image: State of the state of th
(November 28, 2012) Midea Agrees to Pay \$4.5M for Four Models that Fail to Meet Fe Midea America Corp., Hefei Hualing Co., Ltd., and China Refrigeratir affiliates of GD Midea Holding Co., Ltd.—agreed to pay \$4,562,838 a refrigerator-freezer basic model and three freezer basic models fail to standards. Specifically, Midea admitted that the DOE-tested units of approximately the following rates: UL-WD195-D: 55% over the standard UL-WD145-D: 28% over the HS-390C: 8% over the standard HD-146F: 8% over the standard	deral Energy Standards on Industry Co., Ltd. ("Midea")—all subsidiaries or after admitting in a compromise agreement that one o meet the relevant federal energy conservation the offending basic models consumed energy at standard









Compliance Dates for Standards Pror Date	mulgated to	ST OF GY Energy Efficiency & Renewable Energy
Product	Compliance Date for Original Standard and Updates	Authorizing Legislation
RESIDENTIAL PRODUCTS		
Clothes Washers (Water and Energy)	1988, 1994, 2004/2007, <mark>2015/2018</mark>	NAECA 1987
Clothes Dryers	1988, 1994, <mark>2014</mark>	NAECA 1987
Dishwashers (Water and Energy)	1988, 1994, 2010, 2013	NAECA 1987
Refrigerators and Refrigerator-Freezers	1990, 1993, 2001, <mark>2014</mark>	NAECA 1987
Freezers	1990, 1993, 2001, <mark>2014</mark>	NAECA 1987
Room Air Conditioners	1990, 2000, <mark>2014</mark>	NAECA 1987
Central Air Conditioners and Heat Pumps	1992/1993, 2006, <mark>2015</mark>	NAECA 1987
Water Heaters	1990, 2004, <mark>2015</mark>	NAECA 1987
Furnaces	1992, <mark>2013</mark>	NAECA 1987

Compliance Dates for Standards Pron Date (2)	nulgated to	GY Energy Efficiency & Renewable Energy
Product	Compliance Date for Original Standard and Updates	Authorizing Legislation
RESIDENTIAL PRODUCTS		
Boilers	1992, 2012	NAECA 1987
Direct Heating Equipment	1990, 2013	NAECA 1987
Cooking Products	1990, 2012	NAECA 1987
Pool Heaters	1990, 2013	NAECA 1987
Ceiling Fans and Ceiling Fan Light Kits	2007	EPACT 2005
Torchieres	2006	EPACT 2005
Dehumidifiers	2007, 2012	EPACT 2005
External Power Supplies	2008	EISA 2007
Microwave Oven Stand-by Power	2016	EISA 2007
11 DOE Appliance Standards		eere.energy.gov

Compliance Dates for Standards Pro Date (3)	mulgated to U.S. DEPARTMEN	GY Energy Efficiency & Renewable Energy
Product	Compliance Date for	Authorizing
	Original Standard and Updates	Legislation
COMMERCIAL & INDUSTRIAL PRODUCTS		
Electric Motors	1997, 2010	EPACT 1992
Warm Air Furnaces	1994	EPACT 1992
Packaged Boilers	1994	EPACT 1992
Air Conditioners and Heat Pumps	1994/1995, 2003/2004, 2010, 2012, 2012- <mark>2014</mark>	EPACT 1992
Water Heaters, Hot Water Supply Boilers and Unfired Hot Water Storage Tanks	1994, 2004	EPACT 1992
Distribution Transformers	2007, 2010, <mark>2016</mark>	EPACT 1992, EPACT 2005

Compliance Dates for Standards Pr to Date (4)	omulgated U.S. DEPARTMEN	GY Energy Efficiency & Renewable Energy
Product	Compliance Date for Original Standard and Updates	Authorizing Legislation
COMMERCIAL & INDUSTRIAL PRODUCTS		
Refrigerators, Refrigerator-Freezers and Freezers	2010, 2012	EPACT 2005
Automatic Ice Makers	2010	EPACT 2005
Clothes Washers ⁺	2007	EPACT 2005
Unit Heaters	2008	EPACT 2005
Refrigerated Beverage Vending Machines	2012	EPACT 2005
Walk-in Coolers and Walk-in Freezers	2009	EISA 2007
13 DOE Appliance Standards		eere.energy.gov

Compliance Dates for Standards Promuto Date (5)	ulgated	ToF Energy Efficiency & Renewable Energy
Product	Compliance Date for Original Standard and Updates	Authorizing Legislation
LIGHTING PRODUCTS		
Fluorescent Lamp Ballasts	1990, 2005/2010, <mark>2014</mark>	NAECA 1988
General Service Fluorescent Lamps and		EPACT 1992, EISA
Incandescent Reflector Lamps	1995, 2008, 2012	2007
Medium Base Compact Fluorescent Lamps	2006	EPACT 2005
Illuminated Exit Signs	2006	EPACT 2005
Traffic Signal Modules and Pedestrian Modules	2006	EPACT 2005
Mercury Vapor Lamp Ballasts	2008	EPACT 2005
Metal Halide Lamp Ballasts and Fixtures	2009	EISA 2007
General Service Incandescent Lamps, Intermediate Base Incandescent Lamps and Candelabra Base Incandescent Lamps	2012/2014 & 2020	EISA 2007
14 DOE Appliance Standards		eere.energy.gov

Compliance Dates for Standards Promu Date (6)	Igated to U.S. DEPARTMENT	I Energy Efficiency & Renewable Energy
Product	Compliance Date for Original Standard and Updates	Authorizing Legislation
PLUMBING PRODUCTS (Water Only)		
Faucets	1994	EPACT 1992
Showerheads	1994	EPACT 1992
Water Closets	1994/1997	EPACT 1992
Urinals	1994/1997	EPACT 1992
Pre-rinse Spray Valves	2007	EPACT 2005
15 DOE Appliance Standards		eere.energy.gov

Standards Under Development	U.S. DEPARTMENT OF ENERGY Renewable Energy
Standards	Stage
Computers	Framework
Computer Servers	Framework
Portable Air Conditioners	Framework
Commercial Packaged Boilers	Framework
Refrigerated Beverage Vending Machines	Framework
Commercial Compressors	Framework
Residential Boilers	Preliminary Analysis
Packaged Terminal Air Conditioners and Heat Pumps	Preliminary Analysis
Ceiling Fans and Ceiling Fan Light Kits	Preliminary Analysis
Commercial and Industrial Pumps	Preliminary Analysis
Commercial and Industrial Fans and Blowers	Preliminary Analysis
Miscellaneous Residential Refrigeration	Preliminary Analysis
Dehumidifiers	Preliminary Analysis

eere.energy.gov

ndards Under Development - Continued	ENERGY Energy Efficience Renewable Ene
Standards	Stage
GSFL and Incandescent Reflector Lamps	NOPR
High Intensity Discharge Lamps	NOPR
Furnace Fans	NOPR
Electric Motors	NOPR
Set Top Boxes	NOPR
Automatic Commercial Ice Makers	NOPR
Commercial Clothes Washers	NOPR
Single Package Vertical Air Conditioners and Heat Pumps	NOPR
Residential Water Heaters	NOPR
Commercial Packaged Air Conditioners and Heat Pumps	NOPR
Commercial Warm-Air Furnaces	NOPR
Commercial Water Heaters	NOPR
Battery Chargers and External Power Supplies	Final Rule
Metal Halide Lamp Fixtures	Final Rule
Commercial Refrigeration Equipment	Final Rule
Walk-in Coolers and Freezers	Final Rule

17 | DOE Appliance Standards

Test Procedures Under D	evelop		Efficiency & able Energy
Test Procedures	Stage	Test Procedures	Stage
Alternative Efficiency Determination Methods	SNOPR	Compact Fluorescent Lamps Direct Heating Equipment and Pool	NOPR
Set Top Boxes	NOPR	Heaters (Active Mode)	NOPK
Electric Motors	NOPR	Illuminated Exit Signs	NOPR
High-Intensity Discharge Lamps	SNOPR	Light Emitting Diodes	SNOPR
Television Sets	SNOPR	Microwave Ovens (Active Mode)	NOPR
Central Air Conditioners and Heat Pumps	SNOPR	Packaged Terminal Air Conditioners and Heat Pumps	NOPR
Induction Cooking Products (Active Mode)	NODD	Plumbing Products	SNOPR
Induction Cooking Products (Active-wode)	NOFR	Residential Furnaces & Boilers (Active	NORR
Clothes Dryers (Automatic Termination Sensors)	NOPR	Mode) Traffic Signal Modules and Pedestrian	NOPR
Furnace Fans	SNOPR	Modules	NOPR
Posidential and Commercial Water Heaters	NODD	Commercial Clothes Washers	NOPR
Residential and Commercial water meaters	NOFR	Residential Refrigerators - Ice Making	NOPR
Miscellaneous Residential Refrigeration	NOPR	Dehumidifiers (Active)	NOPR
	NODD	Luminaires Lighting Systems	RFI
Commercial and Industrial Pumps	NOPR		
Commercial and Industrial Fans and Blowers	NOPR		
Beverage Vending Machines	NOPR		
Ceiling Fans and Ceiling Fan Light Kits	NOPR		
18 DOE Appliance Standards			eere.energy.gov



U.S. DEPARTMENT OF Energy Efficiency & Renewable Energy

42 U.S.C. 6295(o)(2)(B)(i) directs DOE to consider seven factors when determining whether a standard is economically justified:

EPCA Factors	DOE Analysis
1. Economic impact on consumers and manufacturers	Life-Cycle Cost Analysis Manufacturer Impact Analysis
2. Lifetime operating cost savings compared to increased cost for the product	Life-Cycle Cost Analysis
3. Total projected energy savings	National Impact Analysis
4. Impact on utility or performance	Engineering Analysis Screening Analysis
5. Impact of any lessening of competition	Manufacturer Impact Analysis
6. Need for national energy conservation	National Impact Analysis
7. Other factors the Secretary considers relevant	Environmental Assessment Utility Impact Analysis Employment Impact Analysis























National Impact Analysis	U.S. DEPARTMENT OF Energy Efficiency & Renewable Energy	
 Purpose For equipment shipped from 2015 to 2044: To estimate the National Energy Savings (NES) energy conservation standards at different efficie To estimate the national economic impact electri Present Value (NPV)) from energy conservation efficiency levels. 	from new and amended ency levels. ic motor users (or the Net standards at different	
Method		
 DOE calculates national energy savings by multiplying unit lifetime energy savings by projected shipments and accumulating this projected value over the 30 years. 		
 DOE calculates the NPV by accumulating the d between energy bill savings and increased equi all motors shipped over the 30 year period. 	ifference each year ipment expenditures for	
32 DOE Appliance Standards eere.energy.gov		



Other Analyses	U.S. DEPARTMENT OF	Energy Efficiency & Renewable Energy
 Review Utility Impact Analysis, Environmental Assessment, Employment Impact Analysis, and Regulatory Impact Analysis DOE must perform a variety of "other" analyses to fulfill its regulatory requirements and ensure that all potential impacts of proposed standards have been considered 		
 These "other" analyses include: Utility Impact Analysis: Analyze impacts on the industries (e.g., peak impacts, new capacity requit Environmental Assessment: Consider environm standards; EPCA directs DOE to consider the new conservation which includes environmental benef Employment Impact Analysis: Analyze national proposed standards; DOE's Process Rule (61 FR consider employment impacts Regulatory Impact Analysis: Analyze national immandatory energy efficiency standards: DOE to proceed to perform a regulatory analysis; DOE DOE to explore non-regulatory alternatives to standards 	electric and gas irements) nental effects of p ed for national en its employment imp 36974) directs E npacts of alterna cecutive Order 12 's Process Rule of ndards	s utility proposed hergy pacts of DOE to atives to 2866, DOE commits
34 DOE Appliance Standards		eere.energy.gov







